

Influence of Ammonia on Properties of Nanocrystalline Barium Titanate Particles Prepared by a Hydrothermal Method

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The influence of ammonia on BaTiO₃ particles prepared via hydrothermal synthesis is discussed. Two kinds of Ti-precursors, amorphous (with ammonia) and anatase (without ammonia), are synthesized by a hydrolysis reaction using Ti-butoxide as the starting material. Amorphous Ti-precursors are found to be excellent for this purpose and offer several advantages over anatase. Superior tetragonal properties of BaTiO₃ powders with more uniform particle sizes can be achieved at 200°C after 24 h using amorphous Ti-precursors. X-ray photoelectron spectroscopy was carried out on both types of Ti-precursor, and a decrease in H₂O adsorption on the amorphous Ti-precursors is confirmed. It is considered that the reduction in H₂O adsorption on the Ti-precursors results from the shielding effects of ammonia and is attributed to a decreased formation of intragranular pores in the BaTiO₃ particles. These suggested mechanisms are clarified by the results of *in situ* transmission electron microscopy observations and density measurements of the hydrothermally synthesized BaTiO₃.

I. Introduction

Barium titanate (BaTiO₃), and especially the tetragonal phase, has been extensively used and studied for dielectric materials in multilayer ceramic capacitors (MLCCs) owing to its outstanding dielectric properties.^{1–3} Advances in microelectronic packaging and communication technologies necessitate ultra-high-capacity MLCCs. As the dielectric layer of MLCCs becomes thinner, nano-sized BaTiO₃ powders with diameters of less than 100 nm become essential to produce MLCCs offering ultra-high capacity.^{4–7}

Traditionally, BaTiO₃ nano-sized particles are produced by the solid-state reaction of BaCO₃ with TiO₂ at high temperature over 900°C,⁸ but this method leads to the formation of BaTiO₃ particles with uncontrolled and irregular morphology. Nanoparticles are therefore synthesized by means of various wet chemical routes such as sol–gel processing,⁹ the oxalate route,¹⁰ homogeneous precipitation,¹¹ and hydrothermal methods.^{12,13} Among various methods for synthesizing BaTiO₃, hydrothermal methods in particular have been utilized for commercial production due to the following advantages: (1) the temperature range required for this method not only reduces energy costs but improves the reactivity of the products, and (2) high-purity and single-phase oxides with superfine particle sizes, narrow particle size distribution, and good crystallinity can be achieved at a relatively fast rate.^{14–21}

Crystallographic properties of BaTiO₃ directly affect the qualities of MLCCs.^{17–19} The wet chemically synthesized BaTiO₃ particles show structural peculiarities that are closely connected to the considerable content of water that is incorporated in the perovskite lattice. The particles have intragranular pores that are formed by the concentration of migrating vacancies and water inside them and prevent an increase in dielectric properties.^{17,18} In the firing process of MLCCs, intragranular pores preferentially accumulate at the inner electrodes, resulting in bloating, cracking, and delamination.¹⁹ Consequently, the synthesis of BaTiO₃ powders with better crystallographic properties is important for MLCC performance.

To synthesize high tetragonal BaTiO₃ particles via a hydrothermal reaction, strongly alkaline conditions (pH ≥ 12) are essential.²⁰ Generally, KOH, NaOH, and ammonia have been used as mineralizers to achieve suitable pH conditions.^{21–23} In particular, ammonia has been preferred over KOH and NaOH due to its ease of removal. Ammonia is not incorporated into the oxide matrix, and residual ammonia in BaTiO₃ powders can simply be removed during drying at 100°C.²³ Furthermore, it is effective in limiting the leaching of barium from barium titanate powders.²⁴ However, the influence of ammonia on the hydrothermal synthesis of BaTiO₃ powders, over and above its effect in increasing the pH, has not been thoroughly explored to date.

In this work, we carried out surface analyses of two kinds of hydrolyzed Ti precursors to confirm other roles played by ammonia apart from its function as an alkaline mineralizer on the hydrothermal synthesis of BaTiO₃. To obtain structural information on the environment of the surface atoms and to determine the nature of the surface reactive groups, X-ray photoelectron spectroscopy (XPS) was carried out. XPS has been extensively used to not only investigate the interaction of water with TiO₂ in detail, but to obtain a better understanding of the TiO₂–water interface.^{25–27} From such studies, it is postulated that different modes of interaction between H₂O and Ti-precursors result in dissimilar microstructures and densities of the BaTiO₃ particles, which are in turn investigated using *in situ* transmission electron microscopy (TEM) and pycnometry, respectively.

II. Experimental Procedure

(1) Synthesis

Two kinds of Ti-precursor were prepared by the hydrolysis of Ti-butoxide (Ti[O(CH₂)₃CH₃]₄ (reagent grade 97%, Aldrich Chemical Co., Milwaukee, WI). The first sample, Ti-butoxide (0.025 mol), was diluted with 5 ml high-purity ethanol and 5 ml deionized water added to the solution. The second sample was prepared by a similar procedure except that 4 ml of ammonia solution was added to the mixed solution. The Ti-precursor powders were obtained for analysis by washing with deionized water and EtOH and drying at 80°C for 24 h in air.

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For the preparation of BaTiO₃ powders, the above solutions were dispersed under magnetic stirring at 80°C, followed by the addition of 10 ml of an aqueous suspension of 37.5 M barium hydroxide monohydrate (Ba(OH)₂·H₂O, 98%, Aldrich Chemical Co.). Both final suspensions, which yielded a Ba:Ti molar ratio of 1.5:1, were transferred into a 100 ml Teflon-lined stainless-steel autoclave with a fill factor of 60%. The autoclaves were sealed and kept at 200°C for 24 h under autogenous pressure and then cooled to room temperature. The resultant products were filtered and washed with deionized water and EtOH several times, and subsequently dried at 80°C for 24 h in air.

(2) Characterization

The X-ray diffraction (XRD) data for Ti-precursors and BaTiO₃ powders were recorded on a diffractometer (Model D/MAM 2500, Rigaku Co., Tokyo, Japan), using CuK α radiation ($\lambda = 1.5406 \text{ \AA}$) at 40 kV and 300 mA, and collected over a 2θ range of 20–80°. The microstructures of the Ti-precursors were observed using field-emission transmission electron microscopy (FE-TEM) (Model JEM-3010, JEOL, Tokyo, Japan). The mean size and morphology of the BaTiO₃ particles were investigated by field-emission scanning electron microscopy (FE-SEM) (Model XL30, Philips, Amsterdam, the Netherlands). *In situ* microstructure evolution of the BaTiO₃ particles up to 500°C was observed using *in situ* TEM (Model JEM-3011, JEOL). For surface analysis of Ti-precursors, XPS (Model Sigma Probe, Thermo VG Scientific, Waltham, MA) was performed using Al K α radiation under a vacuum of 1×10^{-10} Torr. The energy scale of the spectrometer was calibrated with pure Ag and Cu samples and the binding energies were measured with a precision of ± 0.25 eV. Fourier-transform infrared spectroscopy (FT-IR) (Model IFS66V/S & HYPERION, Bruker Optiks, Billerica, MA) data for the BaTiO₃ powders were obtained using the KBr pellet technique. A commercial helium pycnometer (Model AccuPyc-1330, Micrometrics, Gosford, NSW, Australia) was used to measure the powder

density of BaTiO₃ after annealing at temperatures in the range of 100°C–1100°C.

III. Results and Discussion

Figures 1(a) and (b) shows the XRD patterns of the as-synthesized Ti-precursors hydrolyzed from Ti-butoxide. The pH values of Ti-precursors hydrolyzed with and without ammonia solution are 10 and 4, respectively, and the addition of ammonia causes the formation of a different phase. By indexing the patterns in Fig. 1(a), the hydrolyzed products obtained without ammonia are consistent with the anatase structure (JCPDS No. 89-4921). As shown in Fig. 1(b), an amorphous phase was formed via the hydrolysis reaction with ammonia. The transformation from anatase to an amorphous phase with the addition of ammonia is presumably attributable to the alkaline conditions, which cause a breakage of Ti–O–Ti bonds.²⁸

The XRD patterns of the BaTiO₃ powders, shown in Figs. 1(c) and (d), are well fitted to the peak positions of the standard tetragonal BaTiO₃ phase (JCPDS No. 05-0626). Normally, the diffraction pattern in the $2\theta = 45^\circ$ region is characteristic of the presence of either a cubic or tetragonal BaTiO₃ structure. The tetragonal phase has two peaks, (002) and (200), which are clearly separated near $2\theta = 45^\circ$. Only the (002) peak of the cubic phase, lying between two tetragonal phases, may overlap due to broadening of the peaks.²⁹ In the present case, the splitting of cubic (200) into tetragonal (200) and (002) reflections can be clearly observed. Accordingly, the synthesized BaTiO₃ phases indicate a tetragonal structure.

It has been widely reported that a solution with a high pH value is required to synthesize highly tetragonal BaTiO₃.^{30–32} In our experiments, the pH of the two different Ti-precursors was approximately 4 (without ammonia) and 10 (with ammonia). However, after mixing with an aqueous solution of Ba(OH)₂·H₂O, the pH values of both seed BaTiO₃ suspensions were around 13. Hence, the experimental conditions were sufficient for the synthesis of BaTiO₃ according to the stability

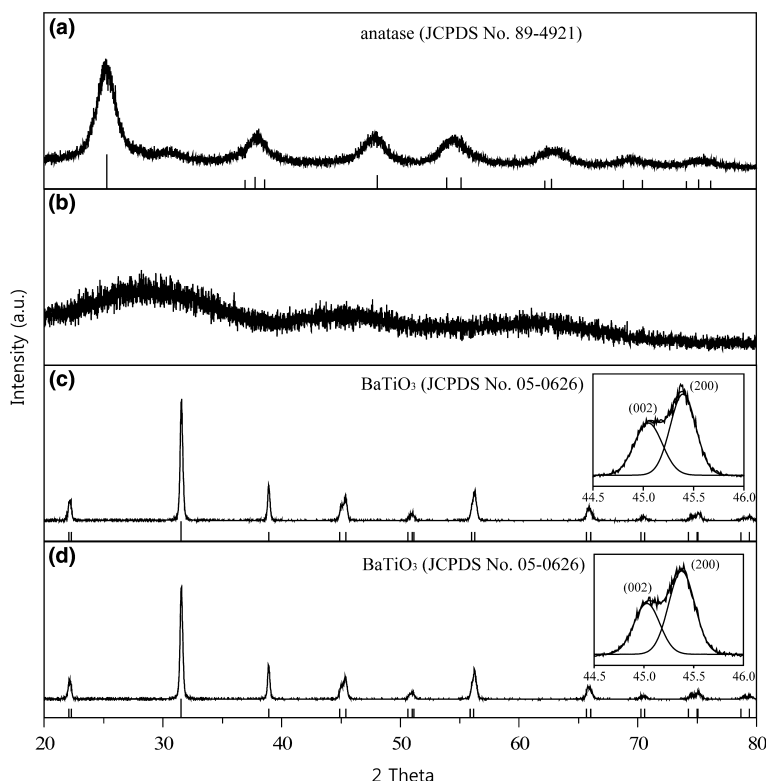


Fig. 1. X-ray diffraction patterns of (a) Ti-precursors synthesized without ammonia and (b) with ammonia, and (c) BaTiO₃ synthesized without ammonia and (d) with ammonia. The insets in (c) and (d) show the peak splitting of (002) and (200).

diagram.³² To demonstrate the tetragonality, c/a , for the as-synthesized BaTiO_3 powders, we performed Rietveld refinement. The initial Rietveld refinement was carried out by the zero-point shift, the unit-cell, and background parameters. After a good match of the peak positions was achieved, the peak profile parameters including the peak asymmetry were refined. Based on this approach, the final tetragonalitys, which were averaged over 10 times for BaTiO_3 powders synthesized with and without ammonia, were 1.0076 and 1.0071, respectively. In spite of the similar pH values of the seed BaTiO_3 suspensions, hydrothermally synthesized BaTiO_3 powders with ammonia have higher tetragonality. Therefore, it can be concluded that the characteristics of ammonia other than its effect on pH accounted for the differences in tetragonality parameters under a sufficiently high pH condition. This aspect is discussed further in the following sections.

TEM and SEM analyses were carried out to investigate the microstructures of the nanocrystals. Figures 2(a) and (b) shows typical TEM images of the Ti-precursors, hydrolyzed without and with ammonia solution, respectively. The morphologies of the two precursors are similar to those of highly agglomerated particles of diameters of less than 10 nm. However, the final BaTiO_3 products synthesized via a hydrothermal reaction possess some different characteristics, as illustrated by the SEM images in Figs. 2(c) and (d). The average particle sizes (*ca.* 95 nm) of BaTiO_3 derived from the anatase Ti-precursors were higher than those of the BaTiO_3 particles (*ca.* 85 nm) obtained from the amorphous precursors. In addition, the particle size and morphology of BaTiO_3

powders synthesized with ammonia were more uniform. The dispersity ($D_{\text{SEM99}}/D_{\text{SEM50}}$) of the final BaTiO_3 powders synthesized without and with ammonia was 2.13 and 1.62, respectively. Kutty *et al.* suggested that amorphous Ti-precursors provide the fastest reaction, and anatase with rutile, the slowest.³³ Furthermore, whereas abundant nucleation sites are present in the amorphous Ti-precursor reaction, nucleation occurs only at the interface between the solution and the smallest anatase particles, resulting in a larger average BaTiO_3 particle size.³⁴ Consequently, it is proposed that the more uniform and smaller particle sizes of BaTiO_3 synthesized from the amorphous Ti-precursors are likely to be due to the influence of ammonia, which controls the reactivity of the precursors.

To further discuss the influence of ammonia on the surface of the Ti-precursors, the XPS data are considered. Figures 3(a) and (b) shows the O 1s spectra of anatase and amorphous Ti-precursors, revealing four components at 529.7, 531.0, 532.2, and 533.5 eV. The major contribution arises from the bulk O^{2-} atoms with a binding energy of 529.7 eV, which is the value usually reported for TiO_2 samples.³⁵ The two other components (531.0 and 532.2 eV) can be assigned to the two-fold and single-fold oxygen atoms, respectively.^{26,36,37} Finally, the peak at 533.5 eV can be tentatively assigned to the oxygen of molecular physisorbed water molecules in the upper hydration layer. Three kinds of oxygen atoms could be present on the TiO_2 surface: three-fold near-surface oxygen atoms (denoted O_s) that are almost identical to the bulk atoms (529.7 eV); two-fold atoms,

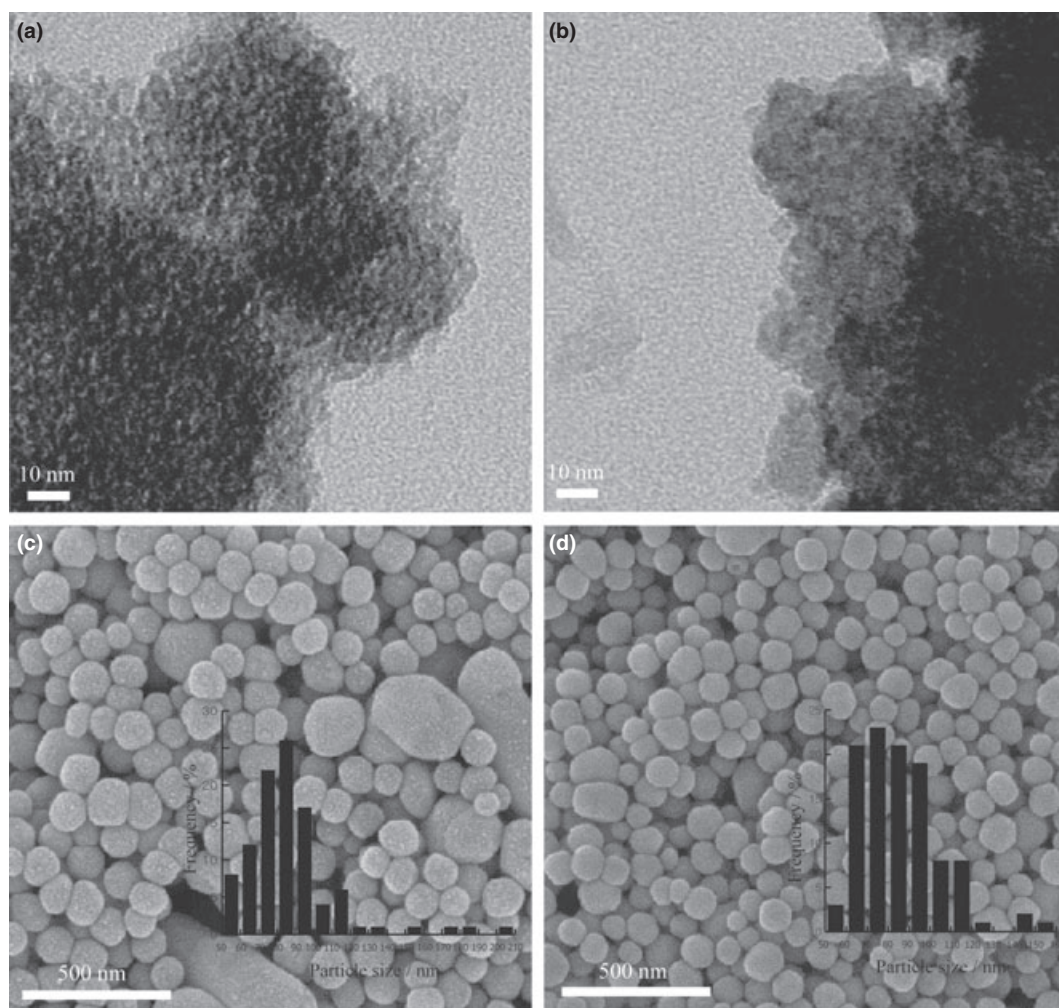


Fig. 2. TEM images of (a) Ti-precursors synthesized without ammonia (anatase) and (b) with ammonia (amorphous), and SEM images of (c) BaTiO_3 synthesized by using anatase and (d) amorphous Ti-precursors as Ti seeds. Both Ti-precursor particles are highly agglomerated and appear similar. BaTiO_3 synthesized with ammonia has more uniform particles.

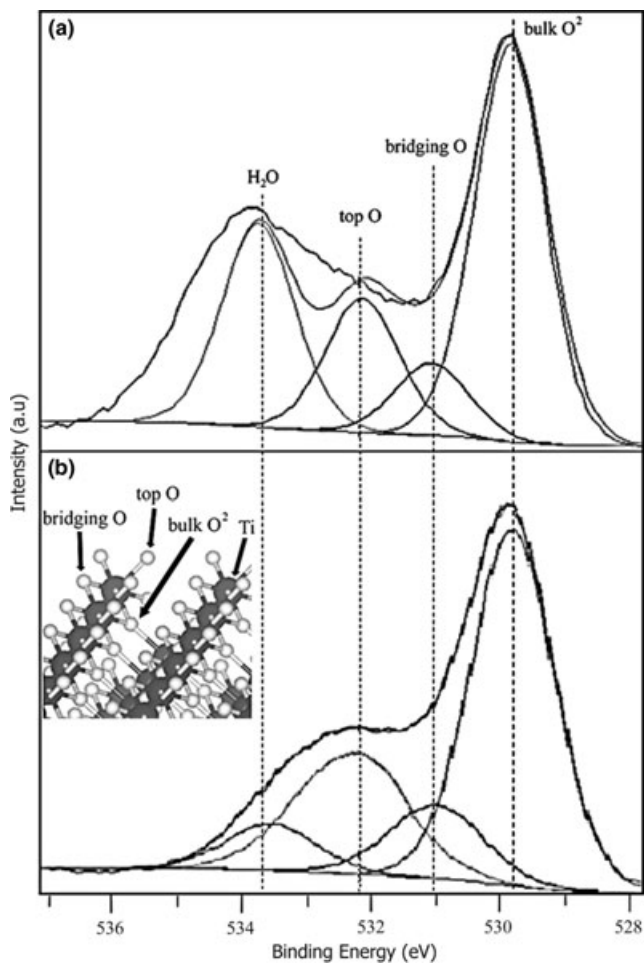


Fig. 3. O 1s spectra of (a) anatase and (b) amorphous Ti-precursors. Surface oxygen peaks of amorphous Ti-precursors are of higher intensity than those of anatase Ti-precursors. The inset in (b) shows the dry TiO₂ (100) face. Bridging O (531.0 eV) and top O (532.2 eV) change in abundance from 19.6% to 21.8% and from 34.7% to 45.5%, respectively, with ammonia. The adsorbed H₂O peak (533.5 eV) is reduced from 63.0% to 14.6%.

referred to as “bridging” oxygen atoms (531.0 eV); and single-fold atoms, denoted as “top” oxygen (532.2 eV).³⁵ Table I shows the relative areas of each peak, revealing that the surface oxygen peaks of Ti-precursors synthesized with ammonia (531.0 eV: 21.8% and 532.2 eV: 45.5%) were of higher intensity than those of Ti-precursors synthesized without ammonia (531.0 eV: 19.6% and 532.2 eV: 34.7%). It was previously reported that some of the Ti–O–Ti bonds might be broken in alkaline solution.²⁸ Consistent with this finding, it is suggested that the larger quantity of surface oxygen in the present study results from the rupture of such bonds under the high pH conditions used. The XPS results are consistent with the proposed difference in nucleation sites densities between the amorphous and anatase Ti-precursors mentioned above. Therefore, it is speculated that the increase

Table I. Characteristics of O (1s) Peaks on XPS Spectrum of TiO₂

Binding energy ±0.2 (eV)	Oxygen surface groups	Anatase (%)	Amorphous (%)
529.7	Os	100	100
531.0	Bridging O group	19.6	21.8
532.2	Top O group	34.7	45.5
533.5	H ₂ O in upper layer	63.0	14.6

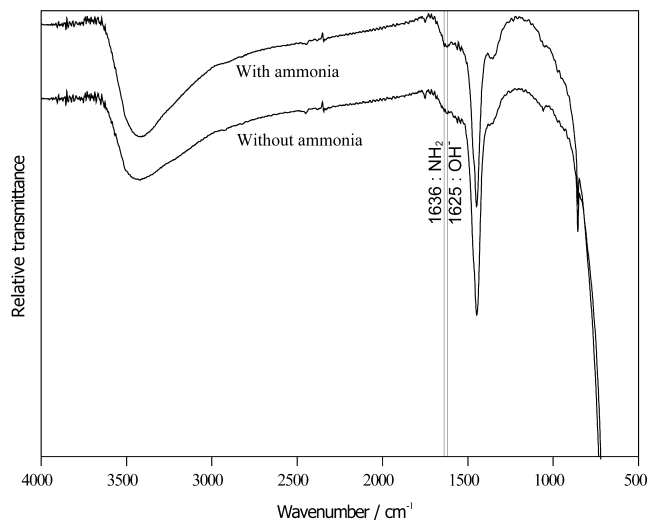


Fig. 4. FT-IR spectra of BaTiO₃ synthesized by using anatase (without ammonia) and (d) amorphous Ti-precursors (with ammonia) as Ti seeds. The BaTiO₃ synthesized with amorphous Ti-precursors only shows the NH₂ peaks in IR spectra. The NH₂ adsorbed on BaTiO₃ has a hydrophobic tail, shielding the surface from H₂O adsorption during the hydrothermal reaction.

in reactive areas of Ti-precursors with Ba²⁺ can be attributed to the addition of ammonia, which caused a faster and more uniform reaction between these two entities.

Another notable feature in the XPS spectra is the lower intensity of the H₂O peak in the amorphous Ti-precursors. The H₂O adsorbed on TiO₂ dissociates to OH[−] on titanium atoms and H⁺ on oxygen atoms, and other water molecules undergo hydrogen bonding with the dissociated H⁺.^{38,39} It is suggested that the amounts of dissociated H₂O and H₂O molecules on Ti-precursors may affect the amount of water incorporated into the perovskite lattice of BaTiO₃ as hydroxyl groups during the hydrothermal synthesis. Corresponding to the substantial quantity of OH[−] ions, a large amount of protons existed in the oxygen sublattice of BaTiO₃. The protons on the O sites of hydrothermally synthesized BaTiO₃ particles must be compensated by the simultaneous formation of barium and titanium vacancies.¹⁹ Moreover, the presence of OH[−] in the structure would cause an internal stress that is expected to hinder the transformation from cubic BaTiO₃ at room temperature.⁴⁰ It is further suggested that the higher tetragonality of BaTiO₃ particles synthesized with ammonia can be attributed to the smaller concentration of defects resulting from the smaller amount of adsorbed H₂O on the Ti-precursors.

This hypothesis was tested by investigating the activation of ammonia on Ti-precursors. The FT-IR bands at 1625 and 1636 cm^{−1} (Fig. 4) showed the presence of OH[−] and NH₂ stretching vibrations in the as-synthesized BaTiO₃ powders, respectively. Both FTIR spectra show bands at 1625 cm^{−1}. However, the bands of coordinated NH₂ (1636 cm^{−1}) are clearly evident only in the spectrum of BaTiO₃ synthesized with ammonia. From previous research based on the adsorption of H₂O and NH₃ on TiO₂, it was reported that H₂O and NH₃ were adsorbed on the same site (Ti atoms) and that the adsorption energy of NH₃ was substantially lower than that of H₂O (adsorption energy of dissociated H₂O: −34.78 kcal/mol, molecular H₂O: −18 kcal/mol, and molecular NH₃: −92.64, −84.35 kcal/mol).³⁹ It is widely accepted that NH₃ adsorbed on TiO₂ is easily transformed to amide NH₂ species with a long hydrophobic tail by hydrogen abstraction.^{41–43} In agreement with findings reported elsewhere, it can be speculated that NH₂ with a hydrophobic tail hinders the adsorption of H₂O on the Ti-precursors during the hydrothermal reaction to BaTiO₃, and might result in a

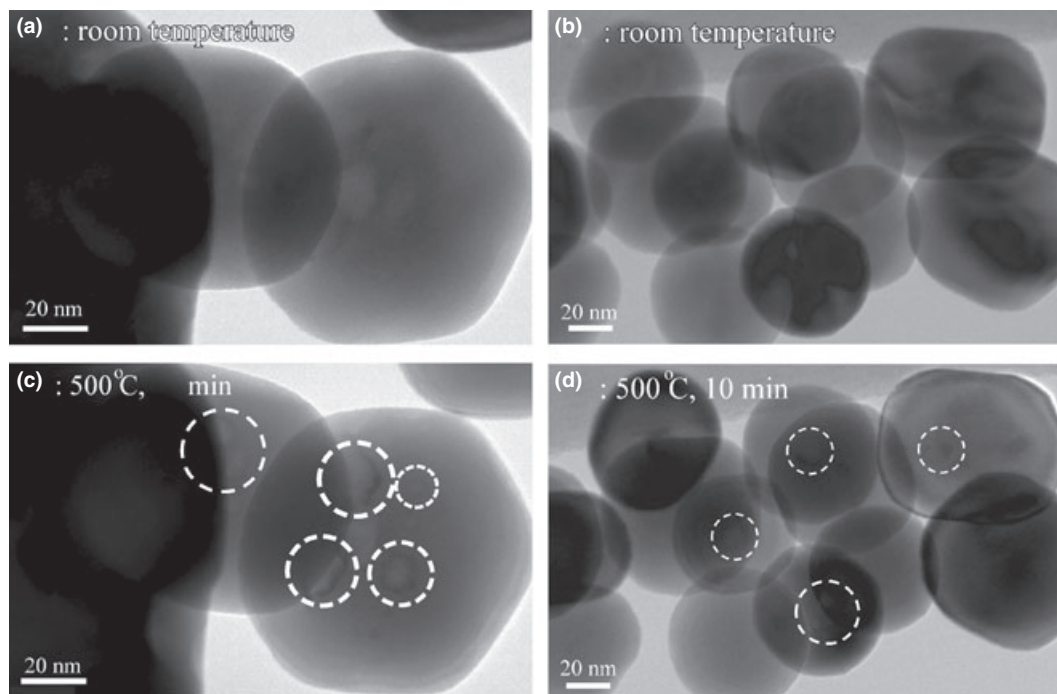


Fig. 5. *In situ* TEM images of BaTiO₃ synthesized by using anatase Ti-precursors at 25°C (a) and 500°C (c) and by using amorphous Ti-precursors at 25°C (b) and 500°C (d). With an increase in temperature, the intragranular pores (white circles) in the BaTiO₃ particles appear. The pores in BaTiO₃ particles synthesized by using anatase are more easily observed.

smaller concentration of defects and higher tetragonality of BaTiO₃ particles synthesized with ammonia.

Figure 5 shows TEM images of BaTiO₃ particles at 25 and 500°C obtained by *in situ* TEM. The TEM images at 25°C illustrate BaTiO₃ particles without intragranular pores. However, pores could be observed after heating to 500°C. When the BaTiO₃ particles were heated to 500°C, the volume of point defects, which were not previously visible, accumulates to form fine pores that are easily detectable via TEM.¹⁹ The notable difference is that the as-synthesized BaTiO₃ particles produced without ammonia showed a larger population of intragranular pores than the as-synthesized BaTiO₃ particles obtained with ammonia after heating to 500°C. This finding is consistent with the XPS analysis, revealing that the lattice defects of BaTiO₃ particles derived from amorphous Ti-precursors show a decrease in number due to the smaller quantity of H₂O adsorbed on the Ti-precursors.

Finally, the density measurements are considered because they can be used to characterize the intragranular porous nature of the BaTiO₃ powders. Figure 6 shows the density variation of the BaTiO₃ samples, which were heated for 2 h in a temperature range of 100°C–1100°C. Pycnometry revealed that the densities of BaTiO₃ synthesized with ammonia were higher than those of BaTiO₃ synthesized without ammonia up to heating temperatures of ~700°C. According to the *in situ* TEM results, the intragranular pores were visible at 500°C. Therefore, the higher densities of BaTiO₃ synthesized with ammonia at ~500°C and 500°C–700°C can be readily explained in terms of fewer point defects and a lower intragranular porosity, with the latter resulting from the accumulation of such defects. The increase in densities, approaching the normal theoretical density in the temperature range of 700°C–1100°C, resulted from significant grain growth, which is most likely stimulated by an Ostwald ripening mechanism and the release of intragranular pores.¹⁹ Consequently, based on a combination of XPS, *in situ* TEM, and pycnometer results, it is suggested that the decreases in point defect abundance and intragranular porosity in the BaTiO₃ particles are attributable to a reduction in H₂O adsorption on Ti-precursors, which are shielded from H₂O via the adsorbed ammonia during the hydrothermal synthesis of

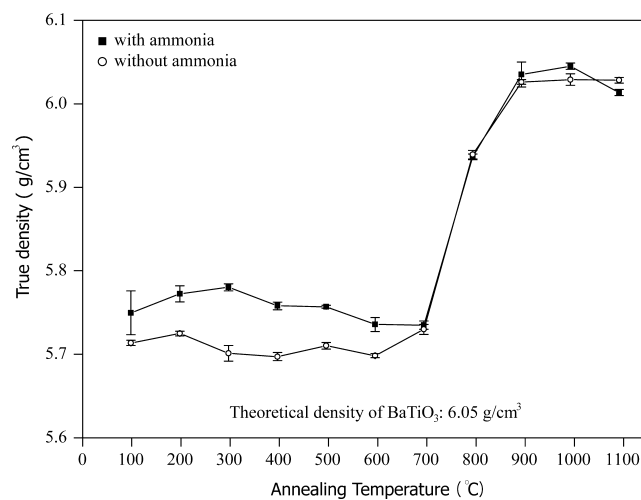


Fig. 6. Measured density of BaTiO₃ heat-treated at temperatures in the range 100°C–1100°C for 2 h. Up to 700°C, the densities of BaTiO₃ from amorphous Ti-precursors are higher. The hydrophobic tails of NH₂ entities adsorbed on BaTiO₃ prevents the formation of inner pores. Both BaTiO₃ products have an ideal density over 900°C, which results from the disappearance of pores.

BaTiO₃. Therefore, superior tetragonal BaTiO₃ powders with uniform morphology and particle sizes could be obtained under similar pH conditions in the case of ammonia addition.

IV. Conclusion

Ammonia plays a crucial role in the hydrothermal synthesis of BaTiO₃ powders and leads to smaller particle sizes, a narrower size distribution, and higher tetragonality. The size properties are ascribed to the amorphous Ti-precursors hydrolyzed with ammonia having a larger reactive surface area, thereby allowing fast and uniform reaction with the Ba source. Higher tetragonality is due to the adsorbed NH₂ entities on the Ti-precursors, which are shielded from H₂O

absorption. The reduction in the quantity of absorbed H₂O on the Ti-precursors results in a decrease in the concentration of point defects in the BaTiO₃ particles, which in turn suppresses the formation of intragranular pores at high temperature.

The experimental results confirmed that the high tetragonality of BaTiO₃ can be attributed to the influence of ammonia, not only in increasing the pH value of solution but in decreasing the concentration of point defects. The combined effects of ammonia lead to better properties of hydrothermally synthesized BaTiO₃ particles for application in MLCCs.

Acknowledgments

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